Integrated Community Development Planning (ICDP)

Francois Theron (SPL, SU)
Accreditation:

This 12-credit HEQF level eight short course covers knowledge of a postgraduate programme formally approved and quality controlled as required by the South African Qualification Authority (SAQA) and the Higher-Education Qualification Committee (HEQC)

1. Aim and premise of the course:

This course is aimed at locating the principles and strategies for Integrated Community Development Planning (ICDP) in people-centred sustainable development at grassroots. The point of departure is that empowering sustainable development starts with grassroots facilitation processes (programmes and projects) through which the intended beneficiaries of development influence, direct and control/own their own development at grassroots or community level. This hypothesis is explored in a participatory and inter-active manner with course participants with regards to how ICDP should be planned and facilitated in general as well as in their particular areas of community facilitation and enablement. In this regard the following statement on ICDP acts as a point of departure, i.e.

“Grassroots community development facilitation and enablement, within the context of people-centred development, is an essential part of human growth, i.e. the development of self-confidence, pride, initiative, responsibility and cooperation among community members. Without such a bottom-up development process among the people themselves efforts to alleviate poverty and capacitate community-building will be more difficult, if not impossible. This participatory social learning process, whereby people learn to take charge of their own lives and solve their own problems, is the essence of empowering and sustainable people-centred development” (See Theron 2008; Swanepoel & De Beer 2011).

This course is committed to the international principles, theory and strategies for ICDP as a foundation for people-centred development; community development facilitation and enablement; community empowerment and good governance in general and Developmental Local Government (DLG) and Integrated Development Planning (IDP) in South Africa specifically.

2. Target Participants:

This course deals with some of the knowledge and skills requirements for selected levels of development facilitation and enablement through ICDP at grassroots. The course content was designed in adherence to contemporary South African legal and policy requirements as well as internationally recognised best community development practice. Both the general and specific focus of the course endeavours to focus on ICDP as facilitated by grassroots, community-based change agents (Theron 2008: 1-22) [see: Course notes]. In this regard a broad spectrum of National, Provincial and Local Government officials (social workers/planners/housing; welfare; health; environmental affairs; communication; rural development; community development and specifically Community Development Workers) and Community Police Forum members; NGO’s; CBO’s; social science post-graduate students; civil society community groupings and organisations and lay persons interested in participation in community work through community development, will have an interest in the course.
3. Course objective and outcomes via action-learning: 

The broad objective of the course is to equip participants who act as ICDP change agents with knowledge and skills towards managing ICDP to ensure that social capital and local knowledge skills of community members are invested to its full potential. Reading material is supplied as well as a course slides note pack. Most of the presentations will be based on the course slide note pack which, ideally, should be integrated with the reading material. Based, inter alia, on pre-course experience and in-course dynamic interaction and mutual social learning among participants, this ICDP course could ideally result in the following mutually reinforcing social learning and empowering outcomes:

Outcome 1: To understand the multi-dimensional context of ICDP and the most relevant principles and theories to later integrate with appropriate ICDP strategy underlying authentic and empowering community-building and enablement at grassroots

Outcome 2: To become familiar with multi-dimensional ICDP contexts (more so community development through DLG and IDP) to later integrate with appropriate ICDP strategy at grassroots

Outcome 3: To be able to identify and assess a context specific “appropriate mix” of relevant ICDP strategies through which to implement the building blocks of development (i.e. community participation; mutual social learning; self-reliance; capacity-building; empowerment and sustainable development) at grassroots

Outcome 4: To be able to provide assistance to participants to participate as community change agents during the planning, implementation and monitoring of ICDP programmes or projects at grassroots [Post-course capacity-building and networking initiatives and communication with participants]

4. Assessment and credits: 

After attending this course, participants should be able to fulfil the following assessment criteria through the indicated methods of assessment:

4.1 Assessment criteria: 

Each participant must, through the assessments, provide proof that he/she has acquired a satisfactory level of knowledge and that he/she has mastered the practical applications for ICDP to the extent that it can be applied to relevant ICDP challenges and responsibilities at grassroots.

4.2 Assessment methods: 

Performance of participants will be assessed by means of an in-course group assignment and a more detailed post-course individual assignment:

4.2.1 In-course group assignment [a group mark is allocated]: Overnight Assignment (see Days 3 & 4): Groups must allow for sufficient time at the end of Day 2 & 3 to consider their points of departure, methodology and strategy as well as time-management for feedback during the morning of Day 4. A presentation should at least cover one hour per group. Plan a Power Point or a flip-chart presentation, presenting your feedback in as much detail as possible. Participants will be asked (working as teams consisting of 6-8 individuals maximum) to critically reflect upon strategic ICDP considerations towards planning a community development project from scratch. The following is your brief, i.e.
1. **Goodwill**, a USA faith based NGO donated R4 million (Four Million) to your community development action group, called **Helping Hand**, to develop an integrated community development plan towards establishing a **Multi-Purpose Community Centre (MPCC)** [see Thusong Service Centres in South Africa] in a rural village called **Forgotten** (Goodwill has specifically requested the establishment of a MPCC).

2. **Forgotten**, a former mining town (the mine is now defunct,) is a poor village of round-about 5450 people of all races (of whom 50% are children; many elderly and more woman than men – most men migrated to urban areas to earn an income). The HDI is very low. The community is frustrated due to poor service delivery by the Forgotten Municipality. Alcohol abuse is high, as is the level of violence and child abuse. Teenage pregnancy is also high. The community lacks proper housing and infra-structure with regards to electricity connections, tapped water and medical facilities (only one municipal clinic exists). There are three poorly equipped primary schools.

   The above multi-causal nexus of poverty has led to high unemployment resulting in a feeling of hopelessness at **Forgotten**. The only employee in **Forgotten** is Red Stone Bricks. There are three different church organisations; a Shoprite store; Forgotten Agricultural Store; a satellite SAPS office and a Dutch NGO which specialises in HIV/AIDS.

3. To warrant the donation, the **Helping Hand** action group has to abide to the following conditions set by **Goodwill**:

   3.1 conduct a stakeholder analysis and appoint a representative project planning team to plan the **Forgotten** MPCC

   3.2 following 3.1, conduct a participatory SWOT analysis towards planning the **Forgotten** MPCC

   3.3 indicate the integrated and context-specific planning process to be followed for the **Forgotten** MPCC

   3.4 following the above steps write a comprehensive project proposal for the **Forgotten** MPCC to present to a **Goodwill** deputation who wants to assess the level of local community participation and capacity-building before committing themselves to the project donation

   3.5 plan a participatory community meeting at which you will present your plan for erecting a MPCC (we obviously accept that Goodwill have accepted your proposal!)

4.2.2 **Post-course individual assignment [an individual mark is allocated]**: You are welcome to use the assignment topics below as point of departure, or alternatively formulate your own topic under the following conditions: (1) it must relate to ICDP principles and strategy and (2) analyses the outcome of ICDP strategies based on a real life case study of your choice. **Format**: 5-6 typed pages, with title page (your surname and name/topic/course name and date and name of facilitator etc.); table of contents; a body with relevant headings and sub-headings; conclusions and recommendations, as well as a reference list (You are welcome to add attachments, i.e. relevant newspaper articles etc.). A good assignment will be based on the integration of the course slides note pack; the reading material supplied and the participants own experience of ICDP.
On request, the Project Manager can suggest a technical format for the assignment at the end of the course. [Note the submission requirements as stated by Tharia Uys Tharia.Uys@spl.sun.ac.za]

4.2.3 Suggested assignment topics:

1. You are a community development official at a small urban municipality with a keen interest in community meetings as participation strategy. The newly appointed (from a municipality in another province) municipal manager, a manager who wants to make a fresh start in your community, asks you to act as the chairperson for a community meeting to be held in 3 weeks’ time. The aim of the meeting will be to activate/encourage residents to be “more involved” in municipal affairs, specifically the IDP. Compile a checklist of activities to be addressed during the meeting in order to ensure a successful community meeting during which community beneficiaries feel free to participate in discussions. Explain your strategic points of departure and planning steps; aims and expected outcomes for the meeting of which you act as the chairperson. Also compile an agenda for the planned meeting.

2. Draw from your own working environment (or community) and experiences regarding a real life ICDP case study of your choice and evaluate it on the basis of the below-mentioned aspects after having given a general overview on the nature of the case, programme or project:
   2.1 a general overview and background on the case (nature of the case etc.)
   2.2 the stakeholders
   2.3 the issues discussed
   2.4 the strategies used (what worked well and what not and why?)
   2.5 what would you have done differently and why?

3. You have been appointed as an external consultant to inform a mayor and municipal manager of a large municipality about an ICDP issues in a future planned low-cost housing project (or any other real-life and relevant project/issue of your choice). Outline your strategic and operational plan and advice to the mayor and municipal manager, as well as the future beneficiaries of the project, by using strategic community development planning and project management principles.

4. You have been appointed to manage an ICDP process in a recent flood disaster area where 200 households are left homeless. Your task is to facilitate dialogue with the homeless regarding alternative accommodation. Compile a community development project team and draw up a work breakdown structure as well as your community participation strategy with/for the affected community. Explain your options and strategies in detail.
5. Critically evaluate, in a case study format, the principles of ICDP; what it is supposed to achieve and how it will influence the quality of life of the community, by assessing the role of participatory community development planning in this regard. During this process, inter alia identify the benefits of community development and the cycle of community development planning. Conclude by indicating the potential pitfalls to community development.

6. Against your understanding of ICDP, argue the following statement by integrating theoretical and practical points of departure, if possible by using a case study and applying your skills and experience in community development planning in development projects: “Through their participation in community development, communities, as the intended beneficiaries, will determine the process whereby a community can influence, direct and control/own local development.”

7. Following the principle of an “appropriate mix” towards identifying community participation strategies for ICDP, select any two (2) from the list below, and explain in detail how it can be employed as a community-building approach:

   7.1 a community radio
   7.2 a community newspaper
   7.3 community organizations as key stakeholders (i.e. Police Forum; community watches; Lions; Round Table; Rapportryers; school governing bodies; church groups; woman groups, clubs, etc.)
   7.4 municipal structures (i.e. Ward Committees)
   7.5 local knowledge and social capital of community groups (i.e. stokvels; burial societies; retired professionals, etc.)
   7.6 specialist CBO’s and NGO’s in your area
   7.7 local universities/colleges in your area

8. Critically reflect upon the definition of community development [see Glossary] and consider its philosophical; theoretical; strategic; management and policy implications towards facilitating ICDP in a relevant real-life case study well known to you.

9. Critically reflect upon the “Jack of all trades” roles (and dangers which go with it) of an ICDP facilitator. Define the:

   9.1 nature of the role and responsibility of a facilitator;
   9.2 how facilitator-community partnerships can/should be generated;
   9.3 and, lastly how via (9.2), the local social capital and indigenous knowledge of community beneficiaries should be accommodated. Consider a real-life case study in this regard and be explicit on your personal experience and social learning outcomes.
5. Course structure and daily programme of activities:

The course structure and programme can be adapted to accommodate the dynamic action-learning realities of the group:

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<td>Welcome and introduction to course:</td>
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<td>Team building: interactive “ice break”-gallery round-table activity and introduction by participants and facilitator [Slide no. 2]</td>
<td>What works for us? What fails and why? How will we plan and facilitate differently in future? - interactive critical reflection on no.1-11 above, linking to local practical realities and meaning-giving contexts</td>
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| 11:00 to 13:00 | Principles, context and concepts for ICDP: Part One: Round-table interactive workshop:       | The realities and expectations of ICDP: open group discussion by participants based on their individual and group experience and capacity with regards to community development planning at grassroots/community level (consider your own experience, environment, contribution and outcomes)  
[Slide no. 7]  |
|              | Contextualizing ICDP in practice (Part 1):                                                   | 1. ICDP stakeholders [no.20]  
2. ICDP contact-making [no.21]  
3. ICDP’s A-Z principles [no.22]  
4. ICDP What questions? [no.23]  |
|              | Contextualizing ICDP through stakeholder participation; capacity-building and empowerment:  | 1. Participatory stakeholder planning principles  
2. Participatory stakeholder planning models  
3. Participatory stakeholder planning strategy (World Bank and IAP2 toolboxes for participation) – i.e. community meetings  
4. Small group strategies [no.33]  |
|              | 5. Conclusion and reflection by the facilitator on no. 1-4 above                             | ICDP and social research – Participatory Action Research as alternative [no.34]  
Option:  
Alternative interactive role-play action-learning and reflection activity based on selected key ICDP issues (Linking no. 1-4 above)  |
|              | Interactive ICDP Internet capacity-building workshop (Computer Laboratory) [no. 38][Optional] |                                                                                                                                          |
| Lunch        |                                                                                               |                                                                                                                                          |
| 13:45 to 15:45 | Principles, concepts and contexts for ICDP: Part Two:                                       | 1. ICDP context and learning environments [Slides no. 3-5]  
2. Learning themes [Slide no. 6]  
3. ICDP conceptual “maze” workshop [Slide no. 8]  
4. Linkage to Day Two: theory building and analytical framework for ICDP  
General discussion; reflection and conclusion for Day One  |
|              | Orientation and expectation regarding overnight group work project (see in-course overnight project on Forgotten MPCC case study): i.e. allocation of groups and group work towards planning and presenting feedback on Day 4  | Linking ICDP challenges to local context specific realities: interactive media workshop (newspaper project) – a critical round-robin action-learning and reflection activity [no. 35]  |
|              | [Groups start working directly after lunch on this day to be well prepared for presentation on Day 4] | General discussion; reflection and conclusion for Day Four  |
| Lunch        |                                                                                               |                                                                                                                                          |
| 15:45 to late | Individual and small group reflections and work in own time                                   | Overnight group assignment – plan strategy and work in groups overnight  
Overnight group assignment – plan strategy and work in groups overnight  
Critical reflections on learning outcomes; future options and course assessment  | End of programme and departure  |
Glossary:

Definitions are not cast in stone, neither should act as blueprints. Definitions must be constructed on context specific realities and in a participatory manner

1. **Community development**: “To connote the process by which the efforts of the people themselves are united with those of governmental authorities to improve the economic, social and cultural conditions of communities, to integrate these communities into the life of the nation, and to enable them to contribute fully to the national process. This complex of processes is, therefore made up of two essential elements: the participation of the people themselves in efforts to improve their level of living, with as much reliance as possible on their own initiative, and the provision of technical and other services in ways which encourage initiative, self-help and mutual help and make these more effective” (UN Department of Economic and Social Affairs 1963:4) and

   “Development as an increase in the capacity to influence the future has certain implications. First, it means paying attention to capacity, to what needs to be done to expand the ability and energy to make change. Second, it involves equity; uneven attention to different groups will divide peoples and undermine their capacity. Third, it means empowerment, in the sense that only if people have some power will they receive the benefits of development. And finally it means taking seriously the interdependence in the world and need to ensure that the future is sustainable” (Bryant & White 1982:15).

2. **Social learning approach**: Participants in the community planning (and building/enablement) process learn from each other in a mutually beneficiary manner, integrating grassroots social capital inputs with external (change agent) technical knowledge, thus establishing a change agent-project beneficiary partnership in community planning and development (Theron 2008:41-75)

3. **Community capacity-building**: The level of influence and ability that people have to determine their own future, including their self-esteem and their ability to invest their social capital in caring about and shaping their own future (Bryant & White 1982:15).

4. **Community empowerment**: Development includes acquiring leverage for the poor. People need to have influence; the ability to raise issues; to direct these issues towards change and to own the change. The fact that those who are poor are also the powerless has a great deal to do with the amount of inequality (Bryant & White 1982:16).

5. **Public participation**: The social learning and empowering participatory planning process through which the efforts of the people themselves [bottom-up approach] to influence, direct and control/own development are united with those of government and officials [top-down approach] to improve the political, economic, social, cultural, environmental and psychological contexts of people and beneficiary communities to build self-reliance and capacity through enabling and empowering them to contribute to sustainable development (Adapted from UN Department of Economic and Social Affairs 1963:4 in Theron 2009:115).

6. **Sustainable development**: Development that meets the needs of the present without compromising the ability of future generations to meet their needs (Brundtland Commission 1987).

7. **The building blocks of development**: The functional, mutually reinforcing relationship and logical sequence of participatory planning through which government (officials) as change agents (See Theron 2008:1-22) and local community beneficiaries (based on their social capital and ingenious knowledge systems) engage in and facilitate (1) public participation; (2) engage in and facilitate a mutual social learning participatory planning
process; (3) build capacity among each other; (4) become self-reliant; (5) empower each other and eventually experience; (6) mutual beneficiary sustainable community development (See Theron 2008:229-232 and Theron 2009:121-125).

8. **Project planning:** A continuous process which involves decisions, or choices, about alternative ways of using available resources (including human social capital), with the aim of achieving particular goals at some time in the future (Conyers & Hills 1990:3)

9. **Development change agent:** Based on humanist thinking and people-centered development approaches, the principle that government officials should ideally act as participatory (grassroots) facilitators and enablers of development. This entails departing from “power over beneficiaries” (ala Chambers 2005 and Theron’s 2008:222-238 “development themes”) towards local settings and arenas in which “power with” and “power sharing” takes place. This is a social learning process through which both officials and beneficiaries are empowered [as equal planning partners] to engage in participatory planning regimes. This process entails radical “shifts in thinking” in our understanding of development planning, DLG and IDP and our approach towards both (See Theron 2008:1-22).

10. **Development beneficiaries:** The local people, community members at grassroots (micro-level) who, often lack power to negotiate (i.e. to influence, direct and control/own development “service delivery” which is often still “top-down” and “prescriptive” in nature), but who mostly, “know what they want”, and who possess local social capital and indigenous knowledge which is crucial for the ideal development context, i.e. participatory and empowering development planning partnerships (Theron 2008:41-75).

11. **A holistic and interdisciplinary approach:** Due to its complex nature, holistic development thinking, planning and implementation (Kotze & Kotze in Theron 2008:76-99 and Theron 2008:226-228) entails a “big picture”-understanding of the mutual influences among economic, political, environmental, social, cultural and psychological development contexts. To enable change agents to grasp this “meaning giving context” and multi-dimensional reality, development facilitation and enablement requires an interdisciplinary approach (we need to “marry” the hard [i.e. engineering] and soft [social development] planning disciplines in reaching towards participatory planning partnerships).

12. **Appropriate social research methodology:** Those engaging in development grassroots facilitation can only do well (see Theron 2008:17-20; 238 and Theron 2009:155-171) if we expose ourselves to “alternative” qualitative social research methodology (See Babbie & Mouton 2008:313-323) like Participatory Action Research (PAR) and Participatory Learning and Action (PLA). Through this radically different approach to social research, the social research process is “democratized” – the researcher (outsider) forms a research partnership with the “researched” (insider) through which (1) we get closer to local (grassroots) realities and solutions; (2) the empowerment of both parties (outsider and insider) and (3) the integration of two knowledge systems, that is: outsider (technical in nature) and insider (social capital and indigenous knowledge) knowledge systems.

13. **Good governance:** A process by which public institutions conduct public affairs, manage public resources and guarantee the realisation of human rights; a process which inter alia incorporates predictable, open and enlightened policy-making, a professional ethos and acting in furtherance of the public good, the rule of law, transparency and a strong civil society participating in public affairs [10 core values can be identified: participation; transparency; effectiveness and efficiency; responsiveness, accountability; consensus orientation; equity; inclusiveness and the rule of law] (See Batho Pele principles).
14. *Batho Pele Principles*: 8 principles on which *good governance* should ideally be based in South Africa, i.e. consultation (rather: participation); service standards; access; courtesy; information; openness and transparency; redress and value for money (See White Paper on Transforming Public Service Delivery 1997).
References:

   - **Chapter 1**: *The development change agent – a micro-level approach to development*, pp. 1-22;
   - **Chapter 3**: *The change agent-project beneficiary partnership in development planning – theoretical perspectives*, pp. 41-75;
   - **Chapter 4**: *Understanding communities and enabling people – a holistic approach*, pp. 76-99
   - **Chapter 10**: *Key themes, reflection and action for development change agents – a call for a change agent-beneficiary partnership*, pp. 220-259  *[Copies supplied in course pack]*

   - **Chapter 3**: *Stakeholders in community development*, pp. 20-32
   - **Chapter 5**: *The features and outcomes of community development*, pp. 41-47
   - **Chapter 6**: *The principles of community development*, pp. 48-60
   - **Chapter 8**: *The place and role of community development workers*, pp. 67-74
   - **Chapter 20**: *Planning and implementation*, pp. 193-208
   - Addendum: Questions and answers for participatory. Self-evaluation  *[Copy supplied in course pack]*

   - **Chapter 4**: *Community development project management*, pp. 49-60 and
   - **Chapter 5**: *Coordination of community development*, pp. 61-73  *[Copy supplied in course pack]*

4. See relevant South African Acts, Manuals and Handbooks (See Government websites)

5. See relevant South African newspaper reports (See SABINET/SA Media)

6. See IAP2; IDASA; HSRC; World Bank; SANGONET and ELDIS websites


Facilitator:

Francois Theron is an Anthropologist and Social Development consultant. As a senior lecturer he teaches Development Studies/Management at the School of Public Leadership, Stellenbosch University. He holds a BA (Social Sciences), BA. Honours (Social Anthropology) (*cum laude*); BA Honours (Development Studies) (*cum laude*) and MA (Social Anthropology) (*cum laude*) from Stellenbosch University. He is a member of professional organisations and has contact with national/international development related organisations and acts as an external examiner/moderator in Development Studies/Management for different universities in and outside South Africa. Theron participates in numerous workshops/conferences regarding development planning/management and related fields, specifically the International Winelands Conferences in Public Management and Planning; delivered 10 papers/posters at national/international conferences and on the request of scientific journals, wrote many book reviews.

As a participant in development planning and management interventions, Theron’s fields of interest/research/teaching/consultation/publications relate to grassroots community development issues, specifically development theory and planning; poverty analysis; social housing; integrated urban/rural development strategies and social forestry. In this regard, he currently specifically focuses on public participation; social learning processes; self-reliance; capacity-building; empowerment; indigenous knowledge systems/social capital and sustainability. He has a strong interest in action research methodology and co-facilitates one nationally accredited training short course programme on public participation and capacity-building for local government officials and other integrated community development planning.

Theron has extensive scientific publications to his name in development management and related fields. In this regard he has published 27 articles in accredited scientific journals and edited/co-edited 13 academic books, himself contributing 22 chapters. He supports interdisciplinary research which leads to community capacity-building projects; training short courses; consultation and supervision of master’s students, of whom he has supervised 60+ students and acted as internal supervisor/examiner for 40 students.

Theron has been involved in numerous community capacity-building programmes over many years; inter alia the Forum for Community Development. His main involvement in the latter regard currently is the multi-cultural community centre, the Breytenbach Centre, Wellington, the Wellington Community Police Forum and social planning at the Bosman Family Farms, Wellington/Hermanus.


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